

RECEIVED

APR 11 2003

Technology Center 2100

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

WINDL, et al.

Serial Number: 10/035,478

Filed: 10/25/2001

Title: "DROP ENABLED TAB DIALOGS"

§  
§  
§  
§  
§  
§  
§

Group Art Unit: 2173

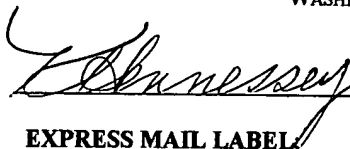
Examiner: Unassigned

Attorney Docket No.: 071308.0416

Honorable Commissioner for Patents  
BOX ASSIGNMENT  
Washington, D.C. 20231

CERTIFICATE OF MAILING VIA EXPRESS MAIL

PURSUANT TO 37 C.F.R. § 1.10, I HEREBY CERTIFY THAT I HAVE INFORMATION AND A REASONABLE BASIS FOR BELIEF THAT THIS CORRESPONDENCE WILL BE DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL POST OFFICE TO ADDRESSEE, ON THE DATE BELOW, AND IS ADDRESSED TO: HONORABLE COMMISSIONER FOR PATENTS  
BOX ASSIGNMENT  
WASHINGTON, D.C. 20231.



EXPRESS MAIL LABEL: EL905250279US  
DATE OF MAILING: 04/04/2003

TRANSMITTAL REQUEST FOR  
RECORDATION OF ASSIGNMENT UNDER 37 C.F.R. § 3.11

Dear Sir:

Applicants respectfully request Recordation of Assignment for the above-identified patent application, and included herewith is an Assignment Recordation cover page, with duplicate copy for fee processing, check #84471 in the Recordation fee amount of \$40.00, and the

HOU02:926534.1

Page 1 of 3

U.S.S.N. 10/035,478  
Transmittal for Assignment Recordation

Assignment with the signature pages of the three inventors/assignors, Helmut Windl, Helmut Fritz and Larry Constantine.

Applicants respectfully note that two separate Assignments were previously filed in this pending patent application, the first on March 28, 2002, which included the signatures of two of the three inventors/assignors, namely, Helmut Windl and Helmut Fritz. This first Assignment was examined and found non-recordable by the Assignment Division because the "cover sheet submitted for recording is not acceptable. An execution date must be indicated for each conveying party." This Notice of Non-Recordation of Document is dated June 10, 2002 and references Assignment Document ID Number 102058522.

Similarly, the subsequent Assignment in the above-referenced patent application was filed April 8, 2002 and included the signature page for the third inventor/assignor only, Larry Constantine. This second filing received a Notice of Recordation of Assignment Document, mailed June 18, 2002. This Notice of Recordation of Assignment lists inventor Larry Constantine as the sole Assignor, and was recorded at Reel 012807, Frame 0540, and given a Recordation Date of April 15, 2002.

Applicants respectfully submit the Assignment in its entirety for Recordation, including the executed signature pages by the three inventors/assignors.

For the convenience of and review by the Examiner, copies of the Notice of Non-Recordation of Assignment Document and the Notice of Recordation of Assignment are attached hereto.

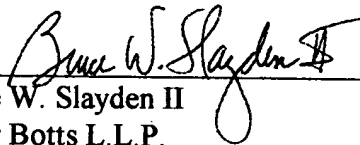
Applicants respectfully request that this Assignment be recorded to include the three inventors/assignors, and further respectfully request that the Assignment be granted a new Recordation Date, Reel and Frame numbers, if deemed appropriate by the Assignment Division.

If the Examiner has any questions concerning this request, the Examiner is invited to contact the attorney of record, as below.

Also included with this filing is a return postcard to acknowledge receipt of the enclosed items.

Respectfully submitted,

BAKER BOTTS L.L.P. (023640)

By:   
Bruce W. Slayden II  
Baker Botts L.L.P.  
One Shell Plaza, 910 Louisiana Street  
Houston, Texas 77002-4995  
Telephone: 713.229.1786  
Facsimile: 713.229.7886  
ATTORNEY FOR APPLICANTS

Date: April 4, 2003

UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



2000P9015 US01

JUNE 10, 2002

SIEMENS CORPORATION  
ELSA KELLER  
INTELLECTUAL PROPERTY DEPARTMENT  
186 WOOD AVENUE SOUTH  
ISELIN, NJ 08830

CT IPS AM Mch P/Ri
29. Nov. 2002
GR Frist

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov



\*102058522\*

RECEIVED

APR 11 2003

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT

Technology Center 2100

DOCUMENT ID NO.: 102058522

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. AN EXECUTION DATE MUST BE INDICATED FOR EACH CONVEYING PARTY.

VIOLET MCCOY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

16. DEZ. 2002

04-16-2002

Serial No. 10/035,478

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/04)



102058522

PATENTS ONLY

HEET U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office  
2000 P 09015 US 01

4.1002

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## Name of conveying party(ies)

Helmut Windl, 10 Foehrenstrasse, 93077 Bad Abbach, Germany

Helmut Fritz, 1 Elbestrasse, 90453 Nuremberg, Germany

Larry Constantine, 58 Kathleen Circle, Rowley, MA. 01969

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: Helmut Windl - March 12, 2002

Helmut Fritz - March 10, 2002

## 2. Name and address of receiving party(ies)

Name: Siemens Aktiengesellschaft

Internal Address: \_\_\_\_\_

Street Address: Wittlesbacherplatz 2,Munich, Germany ZIP: 80333Additional name(s) & address(es) attached? ☐ Yes ☒ No

RECEIVED

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

APR 11 2003

Technology Center 2100

## A. Patent Application No.(s)

10/035,478

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address: \_\_\_\_\_

Siemens CorporationIntellectual Property DepartmentStreet Address: 186 Wood Avenue SouthCity: Iselin State: NJ ZIP: 088306. Total number of applications and patents involved: one7. Total Fee (37 CFR 3.41) ..... \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit Account No.

19-2179

DO NOT USE THIS SPACE

## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

I, Marc Asperas - Reg. No. 37,274

Name of Person Signing

Signature

Date

3/28/02

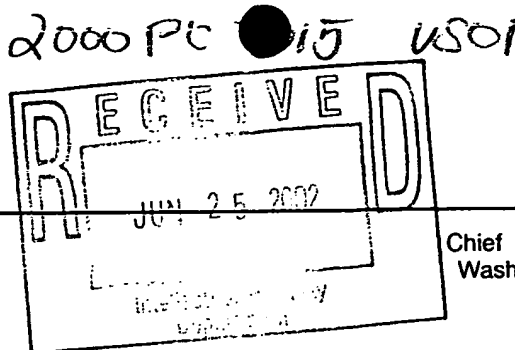
04/15/2002 6T0X11 00000244 192179 10035478

01 FC:581

40.00 CH



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



JUNE 18, 2002

SIEMENS CORPORATION  
ELSA KELLER  
INTELLECTUAL PROPERTY DEPARTMENT  
186 WOOD AVENUE SOUTH  
ISELIN, NJ 08830

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov



\*102068861A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/15/2002

REEL/FRAME: 012807/0540  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS)..

ASSIGNOR:

CONSTANTINE, LARRY

DOC DATE: 04/05/2002

ASSIGNEE:

SIEMENS AKTIENGESELLSCHAFT  
WITTLESBACHERPLATZ 2  
MUNICH 80333, FED REP GERMANY

SERIAL NUMBER: 10035478  
PATENT NUMBER:

FILING DATE: 10/25/2001  
ISSUE DATE:

SEDLEY PYNE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RECEIVED

APR 11 2003

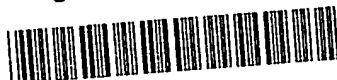
Technology Center 2100

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 1/94)

04-24-2002



102068861

Serial No. 10/035,478

1/ER SHEET U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office  
2000 P 09015 US 01

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

Name of conveying party(ies)

Larry Constantine, 58 Kathleen Circle, Rowley, MA 01969

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: Larry Constantine - April 5, 2002

2. Name and address of receiving party(ies)

Name: Siemens Aktiengesellschaft

Internal Address: \_\_\_\_\_

Street Address: Wittelsbacherplatz 2,

Munich, Germany ZIP: 80333

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

**RECEIVED**

A. Patent Application No.(s)

10/035,478

B. Patent No.(s)

**APR 11 2003**

**Technology Center 2100**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address: \_\_\_\_\_

Siemens Corporation

Intellectual Property Department

Street Address: 186 Wood Avenue South

City: Iselin State: NJ ZIP: 08830

6. Total number of applications and patents involved: one

7. Total Fee (37 CFR 3.41) ..... \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit Account No.

19-2179

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

I, Marc Asperas - Reg. No. 37,274

Name of Person Signing

M A  
Signature

4/8/02  
Date

04/23/2002 TDIAZ1 00000116 192179 10035478

01 FC:581

40.00 CH